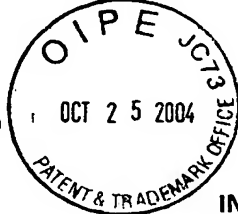


AGILENT TECHNOLOGIES, INC.
Legal Department, DL429
Intellectual Property Administration
P. O. Box 7599
Loveland, Colorado 80537-0599



PATENT APPLICATION

ATTORNEY DOCKET NO. 10004353-1

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Lisa A. Buckman et al.

Serial No.: 10/080,944

Examiner:

Filing Date: Feb. 22, 2002

Group Art Unit: 2881

Title: STRUCTURE AND APPARATUS FOR A VERY SHORT HAUL, FREE SPACE, AND
FILBER OPTIC INTERCONNECT AND DATA LINK

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with *either* a:
 - (X) Statement under 37 CFR 1.97(e), or
 - () a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
 - () Statement under 37 CFR 1.97(e), *and*
 - () a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) FEE AUTHORIZATION

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

(X) STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

() PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

() FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

☒ First Class Mail

☐ "Express Mail Post Office to Addressee" service under 37 CFR 1.10

Express Mail Label No.

Date of Deposit: **Oct. 22, 2004**

In an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

OR

☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: **Linda A. Iimura**

Signature: 

Respectfully submitted,

Lisa A. Buckman et al.

By 

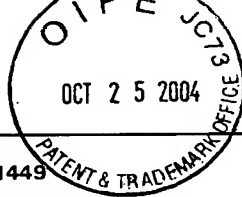
Juergen Krause-Polstorff

Attorney/Agent for Applicant(s)

Reg. No. **41,127**

Date: **Oct. 22, 2004**

Telephone No.: **(650) 485-5904**



PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10004353-1

SERIAL NO.

10/080,944

APPLICANT

Lisa A. Buckman et al.

FILING DATE

Feb. 22, 2002

GROUP

2881

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | * | DOCUMENT NUMBER | DATE | NAME |
|---------------------|---|-----------------|------------|----------------|
| | | 2002/0009258 | 01/24/2002 | Coldren et al. |
| | | 5,546,209 | 08/13/1996 | Willner et al. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | NAME | TRANSLATION | |
|--|--|--------------------|------------|------|-------------|----|
| | | | | | YES | NO |
| | | WP 00/16503 | 09/9/1999 | PCT | | |
| | | WO01/98808 | 05/01/2001 | PCT | | |
| | | WP01/50164 | 12/20/2000 | PCT | | |
| | | WO02/29457 | 10/09/2001 | PCT | | |
| | | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|--|--|
| | Strzelecka, E. M. et al., "Monolithic Integration of an Array of Multiple-Wavelength Vertical-Cavity Lasers with a Refractive Microlens for Optical Interconnections", Lasers and Electro-Optics Society Annual Meeting, Nov. 18, 1996, pp. 271-272. |
| | |
| | |

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)